

Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No. 00-884-H  
RPI No. 500.001

Serial No. 09/780,929

SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Applicant: Breaker et al.

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Filing Date: February 8, 2001

Group: 1635

## OTHER DOCUMENTS - Including Author, Title, Date, Peterinet Pages, Etc.

Examiner Initials	No.	
JDS	31	Castanotto et al., "Intracellular Expression and Function of Antisense Catalytic RNAs," <i>Methods Enzymol.</i> , 313:401-20, 2000

EXAMINER

DATE CONSIDERED

10-19-2002

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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Page 1 of 1

Form PTO-1449 (modified)		Atty. Docket No. 00,884-H (RPI No. 500.001)	Serial No. 09/780,929
<b>List of Patents and Publications for Applicant's SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>		Applicant <i>Breaker, et al.</i>	
		Filing Date: February 8, 2001	Group: 1645
		<b>U.S. Patent Documents</b> <i>See Page 1</i>	<b>Foreign Patent Documents</b> <i>See Page 1</i>

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
✓DS	1	WO 99/16871	04/08/99	PCT International			
✓DS	2	WO 99/54459	10/28/99	PCT International			

**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
✓DS	3	International Search Report from PCT/US01/04223 — <i>Not a proper reference</i>
✓DS	4	Breaker et al., "In vitro Selection of Catalytic Polynucleotides," <u>Chemical Reviews</u> 97:371-390 (1997)
↓	5	Breaker et al., "In vitro Selection of Self-Cleaving Ribozymes and Deoxyribozymes," <u>Horizon Scientific Press</u> 1-19 (1999)

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EXAMINER: <i>J.D. Schmitz</i>	DATE CONSIDERED: <i>10-17-2002</i>
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)**

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Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. §1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §§ 102 or 103.

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No.	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
1	5	3	3	4	7	1	1	08/02/94	Sproat et al.	X			
2	5	6	2	4	8	0	3	4/29/97	Noonberg et al.	X			
3	5	8	5	4	0	3	8	12/29/98	Sullenger et al.	X			

#### FOREIGN PATENT DOCUMENTS

No.	Document Number										Date	Country	Translation	
													Yes	No
4	W	O	8	9	0	2	4	3	9	23/03/89	WO/PCT (Arnold et al.)	X		
5	W	O	9	1	0	3	1	6	2	21/03/91	WO/PCT (Rossi et al.)	X		
6	W	O	9	2	0	7	0	6	5	30/04/92	WO/PCT (Eckstein et al.)	X		
7	W	O	9	3	1	5	1	8	7	05/08/93	WO/PCT (Usman et al.)	X		
8	W	O	9	3	2	3	5	6	9	25/11/93	WO/PCT (Draper et al.)	X		
9	W	O	9	4	0	2	5	9	5	03/02/94	WO/PCT (Sullivan et al.)	X		
10	W	O	9	5	0	6	7	3	1	09/03/95	WO/PCT (Usman et al.)	X		
11	W	O	9	5	1	1	9	1	0	04/05/95	WO/PCT (Dudycz et al.)	X		

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	12	W	O	9	6	1	0	3	9	0	11/04/96	WO/PCT (Ansell et al.)	X	
	13	W	O	9	6	1	0	3	9	1	11/04/96	WO/PCT (Choi et al.)		
	14	W	O	9	6	1	0	3	9	2	11/04/96	WO/PCT (Holland et al.)		
	15	W	O	9	6	1	8	7	3	6	20/06/96	WO/PCT (Beigelman et al.)		
	16	W	O	9	7	2	6	2	7	0	24/07/97	WO/PCT (Wincott et al.)		
	17	W	O	9	8	4	3	9	9	3	08/10/98	WO/PCT (Breaker)	X	

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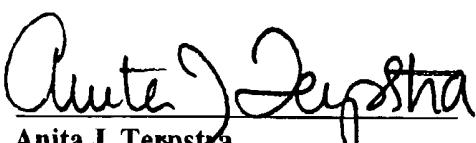
In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff**

Date: January 24, 2002

By:

  
**Anita J. Terpstra**  
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